

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2022-015-01
<b>Temporary Application Number</b>	1564-2022-5
<b>Award Name</b>	NMDOT FY21 5311 Rural Area Program
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	5/17/2022	
<b>Original Period of Performance End Date</b>	12/31/2026	
<b>Current Period of Performance End Date</b>	12/31/2026	Revision #: 0

### Part 1: Recipient Information

**Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	KW1EKL33X3H9	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875051842
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

### Union Information

<b>Union Name</b>	NONE
Address 1	
Address 2	

City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: NMDOT FY21 5311 Rural Area Program

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NM-2022-015-01	Final Concurrence / Reservation	Grant	Region 6	5/16/2023	5/16/2023	No

#### Application Executive Summary

Amendment 1: REMAINING FY2021 5311 FUNDS ARE LAPSING (August 2023) NMDOT (UZA 350000) is applying for \$10,465,506 from the remaining balance of \$10,554,723 of our FY21 Section 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural/Intercity Transportation program. Funds are to be programmed for subrecipient Rural/Intercity Bus projects for FY 2023-FY2024 including program administration and operating projects. NMDOT has also received an application from Ohkay Owingeh to apply directly for administrative (\$19,437.60) and operating (\$69,779.50) federal funds totaling \$89,217.10. See uploaded direct allocation letter. FY 2021 5311 funds are lapsing.

The funding request for this application are as follows:  
Category A Projects:

Rural Subrecipients: (620) \$2,094,461 - awarded for Rural Project Administration activities  
Rural Subrecipients: (600) \$6,937,413 - awarded for Rural Operating activities  
ICB Subrecipients: (634-00) \$1,433,632 - \$332,921 awarded for Intercity Project Administration activities, \$1,100,711 awarded for Intercity Operating activities  
NMDOT spends 15 percent of its Section 5311 apportionment to develop and support intercity bus transportation, which is identified in the attached POP and Budget.

See attached Program of Projects and Budget workbook.

The 5311 subrecipients use the following allowed local match for their 20% match: State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

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NMDOT (UZA 350000) is applying for \$1,641,561 of our FY21 Section 5311 apportionment \$12,196,284 and \$158,791 RTAP ( to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday,

July 22, 2021 for our 5311 Public Rural Transportation program. Total application \$1,800,352. Funds are to be programmed for State Administration, RTAP and subrecipient Intercity Bus projects for FY 2022 including program administration and operating projects.

Purpose of the Award: 5311 Public Rural and Intercity Transportation program projects for Operating, and Program Administration for FFY 2022, State Administration activities and Training activities for subrecipients

Activities to be performed: Provide funding for Program Administration activities (activities include salaries and fringe benefits for transit agency management, financial, accounting and clerical support; contractual services; occupancy costs; equipment and supplies; training) and operating activities (activities include salaries for transit agency operations supervision, drivers, mechanics, dispatch and operations support; occupancy costs; equipment and supplies; and vehicle costs including fuel, preventive maintenance and repair for public transportation services to rural transit agencies across the state of New Mexico. State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities

Expected Outcomes: The use of funds will provide the financial assistance 5311 agencies need to provide public transit services to residents in towns, villages, and cities across the state of New Mexico. The operating and program administration projects will increase service options for the public needing transportation access to employment, education, training, independent living, and essential healthcare related services. State Administration activities will provide administrative support staff to subrecipients for oversight and technical assistance. Training activities will provide subrecipients with essential training and networking opportunities

Intended Beneficiaries: 5311 Subrecipients and their ridership

Subrecipient Activities: Public transportation for New Mexico residents to access employment, education, healthcare shopping and recreational activities. Subrecipient activities also include transportation for work training and experience, life skills training associated with supervised and supported living residential services.

The amount of funding remaining for future use is \$10,554,723 (see attached POP & Budget) to be distributed among 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

The funding request for this application are as follows:

RTAP Section 5311(B): (635-00) \$158,791 - UZA 35000

State Administration: (610-00) \$1,219,628 - UZA 35000

ICB Subrecipients: (634-00) \$421,933 UZA 35000

See attached Program of Projects and Budget workbook.

There are 2 total projects are in Category A, for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties.

Intercity Bus Category A: \$421,933 - NMDOT has awarded \$421,933 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year.

Intercity Bus Projects:

SCRTD Provide ICB service on green, purple and turquoise routes serving Anthony, Chaparral, and Garfield, Las Cruces and El Paso.

NCRTD Provide ICB service on 200 Santa Fe Route serving Espanola, Tesuque and Pojaque, and Santa Fe.

State Administration: \$1,219,628 - provide project administration, oversight and technical assistance to

subrecipients included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$158,791 - NMDOT has awarded RTAP \$158,791 for FY 2022. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training, technical assistance, and scholarship opportunities to rural transit agencies.

The 5311 subrecipients use the following allowed local match for their 20% match: State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2023 and beyond, based on our award recommendations which are released in late May of each year.

The 5311 subrecipients use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

# Award Description

**Purpose**

Purpose of the Award: 5311 Public Rural and Intercity Transportation program projects for Operating, and Program Administration for FFY 2023 and FY2024.

**Activities to be performed:**

Activities to be performed: Provide funding for Program Administration activities (activities include salaries and fringe benefits for transit agency management, financial, accounting and clerical support; contractual services; occupancy costs; equipment and supplies; training) and operating activities (activities include salaries for transit agency operations supervision, drivers, mechanics, dispatch and operations support; occupancy costs; equipment and supplies; and vehicle costs including fuel, preventive maintenance and repair for public transportation services to rural transit agencies across the state of New Mexico.

**Expected outcomes:**

Expected Outcomes: The use of funds will provide the financial assistance 5311 agencies need to provide public rural/intercity transit services to residents in towns, villages, and cities across the state of New Mexico. The operating and program administration projects will increase service options for the public needing transportation access to employment, education, training, independent living, and essential healthcare related services.

**Intended beneficiaries:**

Intended Beneficiaries: 5311 Subrecipients and their ridership

**Subrecipient Activities:**

Subrecipient Activities: Public transportation for New Mexico residents to access employment, education, healthcare shopping and recreational activities. Subrecipient activities also include transportation for work training and experience, life skills training associated with supervised and supported living residential services.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Robert	Sharpe	Transportation Program Specialist	robert.sharpe@dot.gov	(817) 978-0577

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,265,858
Local			\$9,028,548
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$21,294,406</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-01-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,219,628.00	\$0.00	\$1,219,628.00	0
NM-2022-015-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,219,628.00	\$0.00	\$1,219,628.00	0
NM-2022-015-02-01	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$158,791.00	\$0.00	\$158,791.00	0
NM-2022-015-02-01	43.50.01 TRAINING	\$25,000.00	\$0.00	\$25,000.00	0
NM-2022-015-02-01	43.50.04 RELATED SUPPORT SERVICES	\$133,791.00	\$0.00	\$133,791.00	0
NM-2022-015-03-01	600-00 (600-A5) OTHER PROGRAM COSTS	\$6,937,413.00	\$6,937,413.00	\$13,874,826.00	0
NM-2022-015-03-01	30.09.01 UP TO 50% FEDERAL SHARE	\$6,937,413.00	\$6,937,413.00	\$13,874,826.00	0
NM-2022-015-03-01	620-00 (620-A4) PROJECT ADMINISTRATION	\$2,094,461.00	\$523,615.00	\$2,618,076.00	0
NM-2022-015-03-01	11.79.00 PROJECT ADMINISTRATION	\$2,094,461.00	\$523,615.00	\$2,618,076.00	0
NM-2022-015-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,855,565.00	\$1,567,520.00	\$3,423,085.00	0
NM-2022-015-03-01	11.80.00 STATE OR PROGRAM	\$384,061.00	\$96,016.00	\$480,077.00	0

01		ADMINISTRATION				
NM-2022-015-03-01	30.09.01	UP TO 50% FEDERAL SHARE	\$1,471,504.00	\$1,471,504.00	\$2,943,008.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2022-015-01-01	1564-2022-5-P1	5/16/2023	10/1/2021	9/30/2026

#### Project Description

NMDOT is applying for \$1,212,928 of our FY21 Section 5311 apportionment (total apportionment is \$12,355,075) to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural Transportation program. Funds are to be programmed for State Administration. State Administration is programmed within the 10% allowed.

State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities.

#### Project Benefits

State provided program administration to subrecipients is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible and (6) assist with additional capital needs and special projects as assigned.

#### Additional Information

*None provided.*

#### Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

## Program Plan Information

### STIP/TIP

Date: 7/22/2020

Description: FTA 5311 Admin/operating/RTAP/State Admin

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,219,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,219,628</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-01-01	610-00 STATE / PROGRAMS ADMINISTRATION (610-A1)	\$1,219,628.00	\$0.00	\$1,219,628.00	0



NM-2022-015-01-01	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,219,628.00	\$0.00	\$1,219,628.00	0
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## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

#### Extended Budget Description

NMDOT is applying for \$1,219,628 of our FY21 Section 5311 apportionment (total apportionment is \$12,296,284) to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural Transportation program. Funds are to be programmed for State Administration. State Administration is programmed within the 10% allowed.

State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,219,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,219,628</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Program start date, FFY 2021.
End Date	9/30/2026	Expected program end date.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,219,628.00	\$1,219,628.00

**Project Title: 5311 Rural Transit Assistance Program (RTAP)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2022-015-02-01	1564-2022-5-P2	5/16/2023	7/1/2021	6/30/2025

**Project Description**

NMDOT is applying for the full apportionment of RTAP funding in the amount of \$158,791. Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. Training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities.

RTAP Section 5311(b): (Scope 635-00): \$158,791

**Project Benefits**

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry;
- (4) provide a network of transit agencies to share best practices.

**Additional Information**

*None provided.*

**Location Description**

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

### Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

### Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

### Program Plan Information

#### STIP/TIP

Date: 7/22/2020

Description: FTA 5311 Admin/operating/RTAP/State Admin

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: N/A

Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$158,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$158,791</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-02-01	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$158,791.00	\$0.00	\$158,791.00	0
NM-2022-015-02-01	43.50.01 TRAINING	\$25,000.00	\$0.00	\$25,000.00	0
NM-2022-015-02-01	43.50.04 RELATED SUPPORT SERVICES	\$133,791.00	\$0.00	\$133,791.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

NMDOT is applying for RTAP funding in the amount of \$25,000 for subrecipient training. Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. Training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and including scholarships for training opportunities.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$25,000</b>

Milestone Name	Est. Completion Date	Description
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Start Date	7/1/2021	Program start date.
End Date	6/30/2025	Estimated program end date.

**Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

NMDOT is applying for RTAP funding in the amount of \$133,791 for related support services. Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. New Mexico Transit Association will provide training activities to include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and special trainings as requested.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$133,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$133,791</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Program start date.
End Date	6/30/2025	Estimated program end date.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$25,000.00	\$25,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$133,791.00	\$133,791.00

**Project Title: 5311 Rural Transit Programs Subrecipients**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2022-015-03-01	1564-2022-5-P3	5/16/2023	10/1/2021	9/30/2026

**Project Description**

Amendment 1: NMDOT (UZA 350000) is applying for \$10,465,506 from the remaining balance of \$10,554,723 of our FY21 Section 5311 apportionment to the State of New Mexico posted in Federal Register Vol.

86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural/Intercity Transportation program. Funds are to be programmed for subrecipient Rural/Intercity Bus projects for FY 2023-FY2024 including program administration and operating projects. NMDOT has also received an application from Ohkay Owingeh to apply directly for administrative (\$19,437.60) and operating (\$69,779.50) federal funds totaling \$89,217.10. See uploaded direct allocation letter.

The funding request for this application are as follows:  
Category A Projects:

Rural Subrecipients: (620) \$2,094,461 - awarded for Rural Project Administration activities  
Rural Subrecipients: (600) \$6,637,413 - awarded for Rural Operating activities  
ICB Subrecipients: (634-00) \$1,433,632 - \$332,921 awarded for Intercity Project Administration activities, \$1,100,711 awarded for Intercity Operating activities

See attached Program of Projects and Budget workbook.

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NMDOT is applying for \$421,933 of our FY21 Section 5311 apportionment to the State of New Mexico. NMDOT has awarded \$421,933 of FY 2020 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year.  
Rural Category A 5311(f):

Intercity Bus Category A: \$421,933 - NMDOT has awarded \$421,933 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties. .

\$51,140 - Awarded for Intercity Project Administration activities  
\$370,793 - Awarded for Intercity Operating activities

**Project Benefits**

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

**Additional Information**

*None provided.*

**Location Description**

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
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350000

New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Gabriel Vasquez
New Mexico	3	Ben R. Lujan

## Program Plan Information

### STIP/TIP

Date: 7/20/2022

Description: FTA 5311 Admin/operating/RTAP/State Admin

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,887,439
Local			\$9,028,548
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$19,915,987</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM- 2022- 015-03-	600- 00 (600- OTHER PROGRAM COSTS	\$6,937,413.00	\$6,937,413.00	\$13,874,826.00	0



01	A5)						
NM-2022-015-03-01		30.09.01	UP TO 50% FEDERAL SHARE	\$6,937,413.00	\$6,937,413.00	\$13,874,826.00	0
NM-2022-015-03-01	620-00 (620-A4)		PROJECT ADMINISTRATION	\$2,094,461.00	\$523,615.00	\$2,618,076.00	0
NM-2022-015-03-01		11.79.00	PROJECT ADMINISTRATION	\$2,094,461.00	\$523,615.00	\$2,618,076.00	0
NM-2022-015-03-01	634-00 (634-A3)		INTERCITY BUS TRANSPORTATION	\$1,855,565.00	\$1,567,520.00	\$3,423,085.00	0
NM-2022-015-03-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$384,061.00	\$96,016.00	\$480,077.00	0
NM-2022-015-03-01		30.09.01	UP TO 50% FEDERAL SHARE	\$1,471,504.00	\$1,471,504.00	\$2,943,008.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

Amendment 1: NMDOT (UZA 350000) is applying for \$6,937,413 of our FY21 Section 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural/Intercity Transportation program. Funds are to be programmed for Rural subrecipient projects for FY 2023-2024 operating assistance. Operating activities include salaries for transit agency operations supervision, drivers, mechanics, dispatch and operations support; occupancy costs; equipment and supplies; and vehicle costs including fuel, preventive maintenance and repair for public transportation services to rural transit agencies across the state of New Mexico.

The funding request for this project is as follows:  
Category A Projects:

Rural Subrecipients: (600-00) \$6,937,413 - awarded for Rural Operating activities  
NMDOT has awarded subrecipients Rural Operating activities as follows:

FY 2023  
 Golden Spread Rural Coalition - \$111,513  
 Pueblo of Laguna - \$117,496  
 City of Las Vegas - \$107,580  
 Village of Milan - \$95,479  
 NCRTD - \$838,382  
 City of Portales - \$104,935  
 RMRTD - \$722,521  
 Town of Red River - \$62,160  
 City of Roswell - \$113,870  
 SCRTD - \$335,353  
 City of Socorro - \$99,400  
 Zia Therapy Center - \$670,237  
 Zuni Pueblo - \$116,100

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Total: \$3,495,026

FY2024  
 City of Roswell - \$679,840  
 Los Alamos County - \$432,215  
 NCRTD - \$1,922,622  
 RMRTD - \$407,710

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Total: \$3,442,387

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,937,413
Local			\$6,937,413
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$13,874,826</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Program start date.
End Date	9/30/2026	Estimated project end date. Project end date allows for future program years until rural subrecipient operating awards have been expended.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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PROJECT ADMINISTRATION (620-00) 11.79.00 PROJECT ADMINISTRATION PROJECT ADMINISTRATION 0

**Extended Budget Description**

Amendment 1: NMDOT (UZA 350000) is applying for \$2,094,461 of our FY21 Section 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural/Intercity Transportation program. Funds are to be programmed for Rural subrecipient projects for FY 2023-FY2024 program administration. Activities to include salaries and fringe benefits for management, financial, accounting and clerical support to provide project administration.

The funding request for this project is as follows:  
 Category A Projects:

Rural Subrecipients: (620-00) \$2,094,461 - awarded for Rural Project Administration activities  
 NMDOT has awarded subrecipients Rural project administration as follows:

**FY2023**

- Golden Spread Rural Coalition - \$69,792
- Pueblo of Laguna - \$21,200
- City of Las Vegas - \$65,680
- Village of Milan - \$80,379
- NCRTD - \$294,539
- City of Portales - \$59,790
- Town of Red River - \$47,586
- City of Roswell - \$50,000
- SCRTD - \$106,105
- City of Socorro - \$42,125
- Zia Therapy Center - \$223,692
- Zuni Pueblo - \$83,515

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Total: \$1,144,403

**FY2024**

- City of Roswell - \$247,630
- Los Alamos County - \$172,488
- NCRTD - \$529,940

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Total: \$950,058

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There is currently 1 project in Category A, for 1 subrecipient in the following counties: Otero, Dona Ana, and Sierra counties. NMDOT has awarded \$51,140 of FY 2021 5311 apportionment for Intercity Bus service project administration as follows: SCRTD - \$51,140

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,094,461
Local			\$523,615
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,618,076</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Program start date.
End Date	9/30/2026	Estimated project end date. Project end date allows for future program years until rural subrecipient project administration awards have been expended.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment 1: NMDOT (UZA 350000) is applying for \$1,100,711 of our FY21 Section 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural/Intercity Transportation program. Funds are to be programmed for subrecipient Intercity Bus projects for FY 2023 - FY 2024 operating assistance. Operating activities include salaries for transit agency operations supervision, drivers, mechanics, dispatch and operations support; occupancy costs; equipment and supplies; and vehicle costs including fuel, preventive maintenance and repair for public transportation services to rural transit agencies across the state of New Mexico.

The funding request for this project is as follows:  
Category A Projects:

ICB Subrecipients: (634-00) \$1,100,711 - awarded for Intercity Operating activities  
NMDOT has awarded subrecipients Intercity Bus service Operating activities as follows:

FY 2023  
Navajo Nation - \$453,600  
NCRTD - \$ 612,145

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Total: \$1,065,745

FY2024  
NCRTD - \$34,966

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Total: \$34,966

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There are 2 total projects are in Category A, for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties. Total amount of \$421,933 is expensed on \$51,140 - Awarded for Intercity Project Administration activities and \$370,793 - Awarded for Intercity Operating activities.

NMDOT has awarded \$370,793 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service operations as follows:

NCRTD - \$320,000

SCRTD - \$50,793

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,471,504
Local			\$1,471,504
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,943,008</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Program start date.
End Date	9/30/2025	Estimated project end date. Project end date allows for future program years until intercity spending of 15% has been met and intercity bus operating awards have been expended.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Amendment 1: NMDOT (UZA 350000) is applying for \$332,921 of our FY21 Section 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural/Intercity Transportation program. Funds are to be programmed for subrecipient Intercity Bus projects for FY 2023 program administration.

The funding request for this project is as follows:

Category A Projects:

ICB Subrecipients: (634-00) \$332,921 - awarded for Intercity Project Administration activities  
 NMDOT has awarded subrecipients Intercity Bus service program administration as follows:

Navajo Nation - \$120,938

NCRTD - \$ 211,983

Total: \$332,921

There is currently 1 project in Category A, for 1 subrecipient in the following counties: Otero, Dona Ana, and Sierra counties.

NMDOT has awarded \$51,140 of FY 2021 5311 apportionment for Intercity Bus service project administration as follows:

SCR TD - \$51,140

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$384,061
Local			\$96,016
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$480,077</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Program start date.
End Date	9/30/2025	Estimated project end date. Project end date allows for future program years until intercity spending of 15% has been met and intercity bus project administration awards have been expended.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,471,504.00	\$2,943,008.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**  
 Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$384,061.00	\$480,077.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**  
 Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,094,461.00	\$2,618,076.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$6,937,413.00	\$13,874,826.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Robert Sharpe

**Comment Type** DOL Review for Information

**Date** 9/11/2023

**Comment** Per FTA program requirements, this grant application is being sent to DOL for information purposes only.

## Application Review Comments



**Comment By Robert Sharpe**

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Comment Type Pre-Award Manager Returns Application

Date 6/21/2023

Comment Returned to recipient.

**Comment By Robert Sharpe**

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Comment Type Pre-Award Manager Returns Application

Date 8/22/2023

Comment